

Q: What is  $\text{Aut} D(X)$  when  $\omega_X \cong \mathcal{O}_X$  ?

L3

[Beauville-Bogomolov]: Let  $X$  be sm. proj. with  $c_1(X) = 0$ . Then there exists a finite étale cover  $\tilde{X} \rightarrow X$  which decomposes as:

$$\tilde{X} \cong \prod_i A_i \times \prod_j Y_j \times \prod_k Z_k$$

where the

- $A_i$  are simple ab. vars (i.e. not isogenous to a prod. of ab. var of lower dim.)

"governed by  $S^n$  &  $P^n$ "  
 $H^*(Y, \mathcal{O}) \cong H^*(S^n, \mathbb{C})$   
 $H^*(Z, \mathcal{O}) \cong H^*(P^n, \mathbb{C})$

- $Y_j$  are strict Calabi-Yau vars of  $\dim \geq 3$  (i.e. simply conn &  $h^{i,0} = h^0(\Omega^i_Y) = 0 \forall 0 < i < \dim Y$ )
- $Z_k$  are compact hyperkähler vars or irred. holo. sympl. vars. (i.e. simply conn. & unique non-deg holo 2-form  $\sigma$   $H^0(\Omega^2_Z) \cong \mathbb{C}\sigma$ )

mirror symm. predicts  
 Lag. fib's  $Y \rightarrow S^n$   
 $Z \rightarrow P^n$

and of course none on AVs of  $\dim > 1$  since everything deforms, i.e.  $\dim \text{Ext}^1 \geq 2$ .

no sph. vbs on CYs of  $\dim > 2$  since  $\text{Ext}^2(E, E) \xrightarrow{\text{tr}} H^2(\mathcal{O}_Y) \cong \mathbb{C}$ .  
 so a new, and different, notion is needed for HKs. (depending on  $P^n$ )

$\Rightarrow A, Y, Z$  are the building blocks of all compact cx vars with trivial canonical bundle. ( $\omega_X \cong \mathcal{O}_X$ ).

Q': Can we describe  $\text{Aut} D(A)$ ,  $\text{Aut} D(Y)$ ,  $\text{Aut} D(Z)$  ?  
 ✓ Orlov ↖ ↗  
wide open!

Examples: The biggest source of examples of hyperkählers comes from moduli spaces of Giesker-stable sh. on ab. or K3 surf.

① Let  $M_S^H(\nu)$  = moduli space of H-stable sheaves on a K3 surf  $S$  with primitive Mukai vector.

[Mukai  
Huybrechts  
O'Grady  
Yoshioka]

Then  $M_S^H(\nu)$  is hyperkähler and  $M_S^H(\nu) \xrightarrow{\text{def}} S^{[\frac{\nu^2}{2}+1]}$

② Let  $K_A^H(\nu) \hookrightarrow M_A^H(\nu)$  be the moduli space of H-stable sh. with trivial determinant & codeterminant

$$\begin{array}{ccc} K_A^H(\nu) & \hookrightarrow & M_A^H(\nu) \\ \downarrow & & \downarrow (\det \times \det \Phi_\rho) \\ (e, \hat{e}) & \hookrightarrow & A \times \hat{A} \end{array}$$

Then  $K_A^H(\nu)$  is HK and  $K_A^H(\nu) \xrightarrow{\text{def}} K_{\frac{\nu^2}{2}-1}$  where  $K_n$  is the generalised Kummer variety, i.e.

$$\begin{array}{ccc} K_n & \hookrightarrow & A^{[n+1]} \\ \downarrow m^{-1}(e) & & \downarrow m = \text{Alb} \\ e & \hookrightarrow & A \end{array}$$

③ Two sporadic examples of dim<sup>n</sup> ten and six due to O'Grady. Let  $\nu$  be a primitive Mukai vector with  $\nu^2=2$  then  $M_S^H(2\nu)$  and  $K_A^H(2\nu)$  admit symp. resol<sup>n</sup>s:  $\tilde{M} \rightarrow M$  &  $\tilde{K} \rightarrow K$

obtained by blowing up the (red) sing. locus  $\text{Sym}^2 M(\nu)$  &  $\text{Sym}^2 K(\nu)$  resp.

→ Up to deformation, these are all the hyperkähler vars we know!

Def<sup>n</sup>: An obj  $E \in D(X)$  is a  $\mathbb{P}^n$ -obj if  $\text{Ext}^*(E, E) \xrightarrow{\text{as gr. rings}} H^*(\mathbb{P}^n, \mathbb{C}) \simeq \mathbb{C}[h]/h^{n+1}$  ( $E \otimes \omega_X = E$ )

Examples: ① Suppose  $X = \text{HK}$  of dim<sup>n</sup>  $2n$  and  $\mathbb{P}^n \subset X$  with  $\mathcal{N}_{\mathbb{P}^n/X} \simeq \Omega_{\mathbb{P}^n}$  then  $\mathcal{O}_{\mathbb{P}^n}(k) \in D(X)$  is a  $\mathbb{P}^n$ -object.

automatic  
by Mukai

Indeed,  $\text{Ext}_X^q(\mathcal{O}_{\mathbb{P}^n}, \mathcal{O}_{\mathbb{P}^n}) \simeq \Lambda^q \mathcal{N}_{\mathbb{P}^n/X} \simeq \Omega_{\mathbb{P}^n}^q$

and so the local-to-global spectral sequence

$$E_2^{p,q} = H^p(X, \text{Ext}_X^q(\mathcal{O}_{\mathbb{P}^n}, \mathcal{O}_{\mathbb{P}^n})) \implies \text{Ext}_X^{p+q}(\mathcal{O}_{\mathbb{P}^n}, \mathcal{O}_{\mathbb{P}^n})$$

provides a ring isom:  $\text{Ext}_X^*(\mathcal{O}_{\mathbb{P}^n}, \mathcal{O}_{\mathbb{P}^n}) \simeq H^*(\mathbb{P}^n, \Omega_{\mathbb{P}^n}^*) \simeq H^*(\mathbb{P}^n, \mathbb{C})$ .

② Any line b.  $\mathcal{L}$  on  $X = \mathbb{P}^n$  is a  $\mathbb{P}^n$ -object since  
 $\text{Ext}_X^*(\mathcal{L}, \mathcal{L}) \simeq H^*(X, \mathcal{O}_X) \simeq H^*(\mathbb{P}^n, \mathbb{C})$ .

Given a  $\mathbb{P}^n$ -obj  $E \in D(X)$ , we can view the generator  $h \in \text{Ext}^2(E, E)$  as a morphism  $h: E \rightarrow E[2]$  in the derived category.

Similarly, using the natural isom.  $\text{Ext}^2(E, E) \simeq \text{Ext}^2(E^\vee, E^\vee)$   
 $h \longmapsto h^\vee$

we obtain a natural map  $h^\vee: E^\vee \rightarrow E^\vee[2]$  and can thus consider the morphism:

$$H: E^\vee \boxtimes E[-2] \xrightarrow{h^\vee \boxtimes 1 - 1 \boxtimes h} E^\vee \boxtimes E \quad \text{on } X \times X.$$

We can complete this morphism to a distinguished triangle and splice it together with the spherical twist triangle from last time:

$$\begin{array}{ccccc} \text{unique lift} & \dashrightarrow & \tau E[-1] & \longrightarrow & P_E[-1] \\ & & \downarrow & & \downarrow \\ E^\vee \boxtimes E[-2] & \xrightarrow{H} & E^\vee \boxtimes E & \longrightarrow & \text{cone}(H) \\ & & \downarrow \text{tr} & & \downarrow \\ & & \mathcal{O}_\Delta & = & \mathcal{O}_\Delta \end{array}$$

note: We have been writing  $\tau E$  for the cone of the natural map  $\text{Hom}(E, F) \boxtimes E \xrightarrow{\text{ev}} F$  when  $E$  is sph. but the cone makes sense for any  $E \in D(X)$  (but may not give an equivalence)

To see that there is a unique lift of  $H$ , we apply  $\text{Hom}(-, \mathcal{O}_\Delta)$  to the middle  $\Delta$ .

to get:

$$f \longmapsto f \circ H = h - h = 0$$

$$\begin{array}{ccccc} \text{Ext}^1(E \boxtimes E[-2], \mathcal{O}_\Delta) & \rightarrow & \text{Hom}(\text{cone}(H), \mathcal{O}_\Delta) & \rightarrow & \text{Hom}(E \boxtimes E, \mathcal{O}_\Delta) & \rightarrow & \text{Hom}(E \boxtimes E[-2], \mathcal{O}_\Delta) \\ \downarrow \cong & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\ \text{Ext}^1(E, E) = 0 & & \text{Ext}^0(E, E) & & \text{Ext}^2(E, E) & & \end{array}$$

- boundary map being zero implies middle map is surj, i.e. we can always lift  $H$ .
- Since  $\text{Ext}^1(E \boxtimes E[-2], \mathcal{O}_\Delta) = 0$  then the middle map is actually an isom and so the lift of  $H$  is unique.

$\leadsto$  In other words, the trace map factorises over  $\text{cone} \rightarrow \mathcal{O}_\Delta$ .

Now we can define  $P_E := \text{cone}(E \boxtimes E[-1] \xrightarrow{\tilde{H}[1]} T_E)$  which, by the octahedral axiom, is equiv to  $\text{cone}(\text{cone}(H) \rightarrow \mathcal{O}_\Delta) \in D(X \times X)$ , i.e. the kernel of  $P_E$  is obtained from a double cone constr<sup>?</sup>.

- Notice that the induced actions  $P_E^K: K(X) \rightarrow K(X)$  and  $P_E^H: H^*(X, \mathbb{Q}) \rightarrow H^*(X, \mathbb{Q})$  are both equal to the identity since  $[\text{cone}(H)] = [E \boxtimes E] + [E \boxtimes E[-1]] = 0$  in  $K$ -theory.

Explicitly, the  $P$ -twist  $P_E$  assoc. to  $E$  acts on objs  $F \in D(X)$  as follows:

$$P_E(F) = \text{cone}(\text{cone}(\text{Ext}^{*-2}(E, F) \otimes E \xrightarrow{H} \text{Ext}^*(E \otimes F) \otimes E) \rightarrow F)$$

For example, if  $F \in E^\perp := \{G \mid \text{Ext}^*(E, G) = 0\}$  then  $\Phi_{\text{cone}(H)}(F) = 0$  and therefore  $P_E(F) = \Phi_{\mathcal{O}_\Delta}(F) = F$ .

Similarly, if we apply  $P_E$  to  $E$  then  $\text{cone}(H)$  is the cone on  $E[-2] \otimes H^*(\mathbb{P}^n, \mathbb{C}) \xrightarrow{h} E \otimes H^*(\mathbb{P}^n, \mathbb{C})$

i.e. if  $H^*(\mathbb{P}^n, \mathbb{C}) \cong \mathbb{C}[h]/h^{n+1}$  then  $\text{cone}(H) = E \oplus E[-2n-1]$

$\Rightarrow \text{cone}(\text{cone}(H) \rightarrow \mathcal{O}_\Delta) \cong \text{cone}(E \oplus E[-2n-1] \rightarrow E) \cong E[-2n]$ .

That is,  $P_E: E \mapsto E[-2n] \ \& \ E^\perp \mapsto E^\perp$ .

Since  $E \cup E^\perp$  is a spanning class this immediately shows that  $P_E$  is fully faithful:  $\text{Hom}^i(F, F') \xrightarrow{\sim} \text{Hom}^i(P_E(F), P_E(F')) \ \forall i$ .

We are implicitly assuming  $\omega_X \cong \mathcal{O}_X$  throughout and so this is actually an equiv:  $P_E(F \otimes \omega_X) \cong P_E(F) \otimes \omega_X \ \forall F \in \text{spanning d.}$   
[Bridgeland's criterion]

$\leadsto$  To summarise, every  $\mathbb{P}^n$ -obj gives rise to an auto  $P_E \in \text{Aut } \mathcal{D}(X)$ .

$\hookrightarrow$  "Hybrid-Thomas twist"

### Relationship between $\mathbb{P}^n$ -objects and spherical objects

In  $\dim > 2$  the notions are genuinely different. However, in many examples,  $\mathbb{P}^n$ -objects should be thought of as "hyperplane sections" of spherical objects.

More precisely, suppose

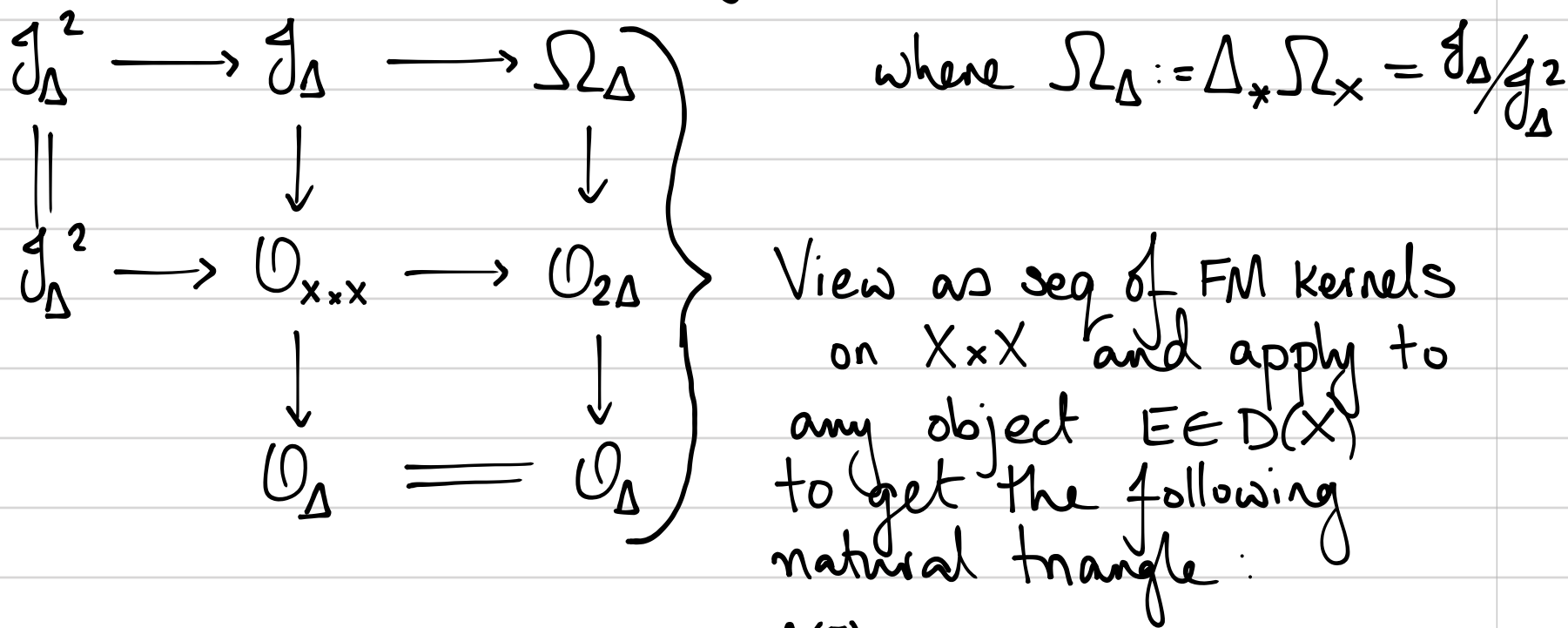
$$\begin{array}{ccc} X & \hookrightarrow & \mathcal{X} \\ \downarrow & & \downarrow \\ 0 & \hookrightarrow & C \end{array} \begin{array}{l} \swarrow \text{smooth family} \\ \swarrow \text{smooth curve} \end{array}$$

(with parameter  $t$ , say)

The family  $\mathcal{X}$ , viewed as a deformation of  $X$ , induces the Kodaira-Spencer class  $K(\mathcal{X}) \in H^1(X, \mathcal{T}_X)$ , which is (by def<sup>n</sup>) the  $\text{ext}^1$  class of the normal bundle seq:  $\mathcal{T}_X \rightarrow \mathcal{T}_{\mathcal{X}/X} \rightarrow \mathcal{O}_X$   
(mult<sup>d</sup> by  $t$  induces trivialisation of the normal b.)

The sequence can be dualised to get  $\mathcal{O}_X \rightarrow \Omega_{X|X} \rightarrow \Omega_X$  and the KS class  $K(X) \in H^1(X, \mathcal{T}_X) \simeq \text{Ext}^1(\mathcal{O}_X, \mathcal{T}_X) \simeq \text{Ext}^1(\Omega_X, \mathcal{O}_X)$  can be viewed as its boundary morphism  $K(X): \Omega_X \rightarrow \mathcal{O}_X[1]$ .

Now, let  $\Delta \subset X \times X$  be the diagonal and  $2\Delta$  be its double:



$$E \otimes \Omega_X \rightarrow J(E) \rightarrow E \xrightarrow{A(E)} E \otimes \Omega_X[1]$$

the  $\text{ext}^1$  class is (by def<sup>n</sup>) the Atiyah class

$$A(E) \in \text{Ext}^1(E, E \otimes \Omega_X)$$

$J(E) := \pi_{2*}(\pi_1^*(E) \otimes \mathcal{O}_{2\Delta})$  is called the first jet space of  $E$ .

The product  $A(E) \cdot K(X) \in \text{Ext}^2(E, E)$  can be described as the composition:

$$\begin{array}{ccccc}
 C[-1] & \longrightarrow & \Omega_{X|X} & \longrightarrow & J(E) \\
 \downarrow & & \downarrow & & \parallel \\
 E[-1] & \xrightarrow{A(E)} & E \otimes \Omega_X & \longrightarrow & J(E) \\
 \downarrow & \circlearrowleft & \downarrow 1 \otimes K(X) & & \\
 E[1] & = & E \otimes \mathcal{O}_X[1] & & 
 \end{array}$$

obstruction to deforming  $E$  sideways to first order to neighbouring fibres in the family.

If  $j: X \hookrightarrow \mathcal{X}$  as above then HT show that there is a functorial isom  $C \cong j^* j_* E$ , i.e. the boundary map of the std triangle

$$\underbrace{E \otimes \mathcal{O}_X(-X)[1]}_{E[1]} \rightarrow j^* j_* E \rightarrow E \xrightarrow{A \cdot K} \underbrace{E \otimes \mathcal{O}_X(-X)[2]}_{E[2]}$$

can be identified with  $A(E) \cdot K(\mathcal{X}) \in \text{Ext}^2(E, E)$ .

If  $E \in D(X)$  is a  $\mathbb{P}^n$ -object st.  $A(E) \cdot K(\mathcal{X}) \neq 0$  (i.e. does not deform sideways in a 1-dim<sup>1</sup> family) then  $j_* E \in D(\mathcal{X})$  is sph.

Indeed, apply  $\text{Hom}(-, E)$  to the above triangle to get

$$\text{Ext}_X^k(E, E) \rightarrow \text{Ext}_X^k(j^* j_* E, E) \rightarrow \text{Ext}_X^{k-1}(E, E) \xrightarrow{\delta} \text{Ext}_X^{k+1}(E, E)$$

$$\text{Ext}_{\mathcal{X}}^k(j_* E, j_* E)$$

The boundary morphism  $\delta$  is given by cup-product with  $A(E) \cdot K(\mathcal{X})$  which, by assumption, can be taken to be the degree 2 generator of  $\text{Ext}^*(E, E)$ . Therefore,  $\delta$  is an isom for  $1 \leq k \leq 2n-1$  and hence

$$\text{Ext}_{\mathcal{X}}^*(j_* E, j_* E) \cong \mathbb{C} \oplus \mathbb{C}[-2n-1].$$

Example: If  $\mathbb{P}^n \subset X$  with  $N_{\mathbb{P}^n/X} \cong \Omega_{\mathbb{P}^n}$  then we can identify the formal nbhd of  $\mathbb{P}^n \subset X$  with the formal nbhd of  $\mathbb{P}^n \subset |\Omega_{\mathbb{P}^n}|$  in the linearised normal bundle, i.e. assoc. affine bundle. In this linear situation, we can use the Euler seq

$$\begin{array}{ccc} \Omega_{\mathbb{P}^n} \hookrightarrow |\mathcal{O}(-1)^{\oplus n+1}| =: \mathcal{X} & & \\ \downarrow & & \downarrow \\ 0 \hookrightarrow |\mathcal{O}| \cong \mathbb{A}^1 =: \mathcal{C} & & \downarrow \end{array}$$

In such a situation, where a  $\mathbb{P}^n$ -obj becomes sph on an ambient space, the associated twists intertwine with one another. That is, we have a commutative diagram of the form:

$$\begin{array}{ccc}
 D(X) & \xrightarrow{j_*} & D(\mathcal{X}) \\
 \text{P-twist.} \curvearrowright \rightarrow P_E \downarrow & \text{\(\circlearrowleft\)} & \downarrow T_{j_*E} \leftarrow \text{spherical twist.} \\
 D(X) & \xrightarrow{j_*} & D(\mathcal{X})
 \end{array}$$

$$j_* \circ P_E \simeq T_{j_*E} \circ j_*$$

$\hookrightarrow$  The spherical twist becomes the  $\mathbb{P}^n$ -twist on the special fibre  $\mathcal{X}_0 = X$ .

[Proof of this result is an application of Chern's Lemma].

- If  $E \in D(X)$  is a  $\mathbb{P}^1$ -obj, i.e.  $\dim X = 2$ , then  $P_E \simeq T_E^2$ .

$\hookrightarrow$  this will be easier to prove once we've introduced IP-functrs.

Can rephrase Bridgeland's conj for K3s as saying:

$$\text{Aut}^\circ D(K3) = \langle \text{IP-twists} \rangle \quad \text{i.e. gen}^{\text{d}} \text{ by IP-twists around } \mathbb{P}^1\text{-objs.}$$

$\rightsquigarrow$  Would like to generalise this to a hyper-Bridgeland conj:

$$\text{Aut}^\circ D(HK) = \langle \text{IP-twists} \rangle$$

We will see next time that it is not enough if we only consider twists around IP-objects but might be plausible if we allow twists around "IP-functors".